

# Claims

- [c1] That which is claimed is:
1. A securities transaction system comprising of:  
a system that allows the creation of a capitalization table.
  - [c2] 2. A system that allows corresponding accounts for each beneficial rights holder or trust holder in the capitalization table;
  3. A system according to claim 2 in which the total number of outstanding and authorized securities for an issuer are entered.
  - [c3] 4. A system according to claim 2 in which the total number of outstanding securities are entered per beneficial rights holder; corporate or trust holder.
  - [c4] 5. A system according to claim 1 in which said system is a data processing system.
  - [c5] 6. A system according to claim 5 in which said system is processed in a client server system.
  - [c6] 7. A system according to claim 5 in which said system is connected to through the Internet.

- [c7] 8. A system according to claim 5 in which said system is connected to wireless devices.
- [c8] 9. A system according to claim 5, further comprising: (a) computer processor means for processing data; (b) storage means for storing said data on a storage medium; (c) communication means for transmitting data in a secure environment to and from various remote locations; and (d) computer software means for creating and displaying trade data concerning a particular trade in the form of a trade record.
- [c9] 10. A system, as claimed in claim 9 wherein said computer software means further comprises: means for inputting and storing system information on said storage means.
- [c10] 11. A system according to claim 4 in which said system is a data processing system.
- [c11] 12. A system according to claim 11 in which said system is processed in a client server system.
- [c12] 13. A system according to claim 11 in which said system is connected to through the Internet.
- [c13] 14. A system according to claim 11 in which said system

is connected to wireless devices.

- [c14] 15. A system according to claim 11, further comprising:  
(a) computer processor means for processing data; (b) storage means for storing said data on a storage medium; (c) communication means for transmitting data in a secure environment to and from various remote locations; and (d) computer software means for creating and displaying trade data concerning a particular trade in the form of a trade record.
- [c15] 16. A system, as claimed in claim 15 wherein said computer software means further comprises: means for inputting and storing system information on said storage means.
- [c16] 17. A system according to claim 2 in which said system is a data processing system.
- [c17] 18. A system according to claim 3 in which said system is a data processing system.
- [c18] 19. A system according to claim 1 in which the trading of securities are done directly between rights holders.
- [c19] 20. A system according to claim 1 in which the system creates a static dynamic price instability alert and a sep-

arate dynamic price instability alert that senses the direction of the security and moves the price instability alert larger or smaller accordingly.

- [c20] 21. A system according to claim 1 in which the system moves an existing beneficial rights holder order book into a market auction after a price instability alert.
- [c21] 22. A system according to claim 1 which creates a invite to a shareholder who is not part of said system.
- [c22] 23, A system according to claim 1 which displays the beneficial rights holder in the order book during the auction bid and ask book creation.
- [c23] 24. A system according to claim 1 which displays and discloses the capitalization table to an issuer and other market participants as each security trade occurs.